

M.YAE:U/10
- RWP
09/423356
2-11-2000 - ~~McBragg, Inc.~~
Dec 1 & Fee Due



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

⑥ 09/423356 U.S. APPLICATION NO.

KIRK

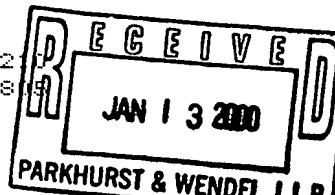
FIRST NAMED APPLICANT

HYAE-993
ATTY. DOCKET NO.

ROGER W PARKHURST
PARKHURST & WENDEL
1421 PRINCE ST SUITE 2100
ALEXANDRIA VA 22314-2815

5071

INTERNATIONAL APPLICATION



1A FILING DATE: 01/11/00
PRIORITY DATE: 98

01/11/00

DATE MAILED.



NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:

a Designated Office (37 CFR 1.494).
 an Elected Office (37 CFR 1.495).
 U.S. Basic National Fee.
 Copy of the international application in:
 a non-English language.
 English.
 Translation of the international application into English.
 Oath or Declaration of inventors(s) for DO/EO/US.
 Copy of Article 19 amendments.
 Translation of Article 19 amendments into English.
 The International Preliminary Examination Report in English and its Annexes, if any.
 Translation of Annexes to the International Preliminary Examination Report into English.
 Preliminary amendment(s) filed 23 Nov 99 and _____.
 Information Disclosure Statement(s) filed 23 Nov 99 and _____.
 Assignment document.
 Power of Attorney and/or Change of Address.
 Substitute specification filed _____.
 Statement Claiming Small Entity Status.
 Priority Document.
 Copy of the International Search Report and copies of the references cited therein.
 Other: 301, 304, 308

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
 The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice **MUST be returned with this response.**

Enclosed: PCT/DO/EO/917 Notice of Defective Translation
 PTO-875

FORM PCT/DO/EO/905 (December 1997)

DOCKETED BY Burg ON 1-14-2000 Telephone: (703) 355-3239 (PW)
AND ON 1-20-2000

101 Rec'd PCT/PTO 21 JAN 2000
O I P E JCB2
JAN 21 2000 21
PATENT & TRADEMARK OFFICE
09/423,356
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hideki KIRINO et al.

Serial No.: 09/423,356

Filed: November 23, 1999

For: TRANSMISSION APPARATUS AND TRANSMISSION METHOD

ASSIGNMENT TRANSMITTAL LETTER

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Please record the attached Assignment in the name of the assignor and the assignee as indicated below and return the original document to the undersigned.

1. Submission Type:

Conveyance Type:

<input checked="" type="checkbox"/> New	<input checked="" type="checkbox"/> Assignment
<input type="checkbox"/> Resubmission (Non-Recordation)	<input type="checkbox"/> Security Agreement
Document ID# _____	<input type="checkbox"/> License
Correction of PTO Error	<input type="checkbox"/> Change of Name
02/14/2000 WCLAYERD 00000121 09/423,356 # _____	<input type="checkbox"/> Merger
02 FC:581	<input type="checkbox"/> Other
Corrective Document	
Reel # _____	Frame # _____

2. Name of Conveying Party(ies):

Hideki KIRINO; Tetsuo HIRAGA.

3. Name and Address of Receiving Party(ies):

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.
1006, Oaza Kadoma,
Kadoma-shi, Osaka 571-8501
JAPAN.

4. Execution Date of Assignment: January 7, 2000.

5. If this Assignment is being filed together with a new application, the execution date of the application is: January 7, 2000.

6. Total number of applications and patents involved: 1.

All correspondence should be addressed to:

Roger W. Parkhurst
PARKHURST & WENDEL, L.L.P.
Suite 210
1421 Prince Street
Alexandria, VA 22314-2805.

The Assignment recordation fee (\$40.00) is covered by our attached Check Number 12349.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Total number of pages including cover sheet, attachments and document 4.

If there should be any questions concerning the amount of the fee or should our check become detached, please debit or credit our Deposit Account Number 16-0331 as needed in order to effect proper filing of the attached document.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Roger W. Parkhurst
Registration No. 25,177

January 21, 2000

Date

Attorney Docket No. HYAE:093

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
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101 Rec'd PCT/PTO 21 JAN 2000

PCT
2000 #3

520 Rec'd PCT/PTO 21 JAN 2000



09/423,356

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hideki KIRINO et al.

Serial No.: 09/423,356

Filed: November 23, 1999

For: TRANSMISSION APPARATUS AND TRANSMISSION METHOD

SUBMISSION OF ORIGINAL EXECUTED DECLARATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

Sir:

The above-identified application was duly filed on November 23, 1999, serial 09/423,356, an executed Declaration. Accordingly, submitted 01/31/2000 ~~MAILER~~ ~~0900093 0942356~~ ~~170.00~~ ^{170.00} ~~01 FC:198~~ herewith is a fully executed Declaration and Assignment of the inventors. Attached is our check for \$170.00, which includes \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e) and \$40.00 to cover the fee for recordation of the ~~Assignment date: 02/14/2000 MAILER~~ ~~01/31/2000 MAILER~~ ~~0900093 0942356~~ ~~170.00~~ ^{170.00} ~~01 FC:198~~ Assignment document.

Entry of these documents should complete all of the filing formalities and fully satisfy the Notice of Missing Parts mailed

PLEASE ACCEPT THIS AS
AUTHORIZATION TO DEBIT
OR CREDIT FEES TO
DEP. ACCT. 16-0331

January 11, 2000. Accordingly examination and allowance of the application in due course are respectfully solicited.

All correspondence should be sent to applicants' representative at the address indicated below.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Roger W. Parkhurst
Registration No. 25,177

January 21, 2000

Date

RWP/ame

Attorney Docket No. HYAE:093

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